

FEDERAL BUREAU OF INVESTIGATION

FOI/PA

DELETED PAGE INFORMATION SHEET

FOI/PA# 1388385-000

Total Deleted Page(s) = 18

Page 4 ~ Duplicate;  
Page 12 ~ b6; b7C;  
Page 42 ~ Duplicate;  
Page 49 ~ b6; b7C; b7D; b7E;  
Page 50 ~ b6; b7C; b7D; b7E;  
Page 51 ~ b6; b7C; b7D; b7E;  
Page 52 ~ b6; b7C; b7D; b7E;  
Page 53 ~ b6; b7C; b7D; b7E;  
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Page 55 ~ b3; b6; b7C; b7D; b7E;  
Page 56 ~ b3; b6; b7C; b7D; b7E;  
Page 57 ~ Duplicate;  
Page 58 ~ Duplicate;  
Page 115 ~ b3; b5; b6; b7C; b7D; b7E;  
Page 116 ~ b3; b5; b6; b7C; b7D; b7E;  
Page 117 ~ b3; b5; b6; b7C; b7D; b7E;  
Page 118 ~ b3; b5; b6; b7C; b7D; b7E;  
Page 119 ~ b3; b5; b6; b7C; b7D; b7E;

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Deleted Page(s)

No Duplication Fee

For this Page

XXXXXXXXXXXXXXXXXXXXXXXXXXXX

(Rev. 01-31-2003)

**FEDERAL BUREAU OF INVESTIGATION**

Precedence: ROUTINE

Date: 06/28/2007

To: Washington Field  
Criminal Investigative

Attn: Public Corruption Unit  
UC [redacted]  
SSA [redacted]

b6  
b7C

From: Washington Field

[redacted]  
/NVRA

Contact: SA [redacted]

Approved By: [redacted]

b6  
b7C  
b7E

Drafted By: [redacted]

Case ID #: 205-WF-NEW (Pending)

205-WF-236544

Title: BAE SYSTEMS, plc;  
BAE SYSTEMS, Inc;  
AL-YAMAMAH ARMS DEAL;  
PAYMENTS TO SAUDI ARABIAN OFFICIALS;  
FOREIGN CORRUPT PRACTICES ACT OF 1977

Synopsis: To request SAC authority to initiate a full-field public corruption investigation of captioned matter; and to provide FBIHQ with notification of same.

Enclosure(s): Enclosed for FBIHQ/Criminal Investigative Division is one (1) original and one (1) copy of a Letterhead Memorandum (LHM) documenting the predication for captioned investigation.

Reference: 205-WF-233891 Serial 14

Details: On 06/15/07, the above referenced EC was sent to FBIHQ detailing and requesting authorization to open captioned new investigation. On 06/19/07, SSA [redacted] was notified by Section Chief Nancy Nelson that FBIHQ supported the investigation of this matter.

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This communication requests SAC authority to initiate a full-field public corruption investigation. Captioned matter was predicated on information provided to FBI/Washington Field Office by the [redacted]

Rev 7-2-07

50T-  
Please open and  
cargo to SA  
and 5A  
1/2/07

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b7C

To: Washington Field From: Washington Field  
Re: 205-WF-NEW, 06/28/2007

This communication also provides FBIHQ with a LHM  
deemed suitable for dissemination as required by the Manual of  
Investigative Operations and Guidelines (MIOG) Section 58-8.2.

To: Washington Field From: Washington Field  
Re: 205-WF-NEW, 06/28/2007

LEAD(s):

Set Lead 1: (Info)

CRIMINAL INVESTIGATIVE

AT WASHINGTON, DC

Read and clear.

♦♦



U.S. Department of Justice

Federal Bureau of Investigation

In Reply, Please Refer to  
File No.

Washington, D.C.  
June 29, 2007

BAE SYSTEMS, plc;  
BAE SYSTEMS Inc.;  
AL-YAMAMAH ARMS DEAL,  
Payments to Saudi Arabian Officials  
Foreign Corrupt Practices Act of 1977

Captioned investigation has been opened to determine whether BAE SYSTEMS, plc, made corrupt payments to present and to former officials of the Kingdom of Saudi Arabia to assist in obtaining and retaining a lucrative arms contract (AL-YAMAMAH ARMS DEAL) the company had with the Kingdom of Saudi Arabia.

In 1986, the AL-YAMAMAH ARMS DEAL was entered into between the United Kingdom government and the Saudi government, and there have been several amendments to this deal since 1986. In connection with this deal, BAE SYSTEMS plc, through the use of intermediaries (both individuals and companies) and various British and Swiss bank accounts, has allegedly made corrupt payments to Saudi government officials.

The investigation is focused on payments to two individuals. The first focus is on payments made for expenses and things of value, and possibly a stipend, to [redacted]

[redacted] including expenses for his travel in the United States. The second focus is on payments to [redacted] [redacted] It is believed that these payments were directed in part to Riggs Bank in Washington, D.C. at accounts in the name of the Saudi Embassy for which [redacted] had drawing rights.

There is reason to believe that other BAE agents may have committed acts in the furtherance of payments to [redacted] [redacted] while in territory of the United States. It further appears that at least one BAE agent committed acts in furtherance of the payments to [redacted] while in the territory of the United States.

Captioned investigation was opened based in-part on information provided by [redacted] Possible violations include FCPA, conspiracy, wire fraud, and money laundering.

205-WF-236544-2

[redacted]

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b6  
b7C

b7D

b6  
b7C

(Title)

(File No.) 205-WF-236544

1A/1C Rep 205-WF-236544

| Type | #  | Acquired B | Acquired O | Serial #   | Serial Title  | Record Type | Summary   |
|------|----|------------|------------|------------|---|-------------|---|
| 1A   | 1  |            |            | 2012-06-25 | <u>334</u> (U) BAE Monitor Meet Digital and Physical    |             | (U) Original notes re meeting with [redacted]         |
| 1A   | 2  |            |            | 2012-07-25 | <u>337</u> (U) Document Receipt Digital and Physical    |             | (U) Confirmation of receipt of documents [redacted]   |
| 1A   | 3  |            |            | 2009-01-05 | <u>347</u> (U) To Request the Ass Physical              |             | (U) Western Digital External Hard Drive Set           |
| 1A   | 4  |            |            | 2013-10-01 | <u>348</u> (U) Disposition of Evid Digital and Physical |             | (U) FD-192s, FD-1004s and FD-597                      |
| 1A   | 5  |            |            | 2013-10-02 | <u>349</u> (U) CART Request to D Digital                |             | (U) CART Request and E-mail Concurrence               |
| 1A   | 6  |            |            | 2013-10-04 | <u>350</u> (U) Convert 1B14 and : Digital and Physical  |             | (U) 1B14 ad 1B15 Chain of Custody and Do              |
| 1A   | 7  |            |            | 2013-10-17 | <u>351</u> (U) Disposition of Evid Digital and Physical |             | (U) FD-597, FD-192s and FD-1004s                      |
| 1A   | 8  |            |            | 2013-09-30 | <u>352</u> (U) Destruction of Evid Digital              |             | (U) DOJ Attorney's e-mail concurrence con             |
| 1A   | 9  |            |            | 2013-10-11 | <u>353</u> (U) Destruction of Evid Digital and Physical |             | (U) <u>Original FD-192 &amp; FD-1004 forms for It</u> |
| 1A   | 10 |            |            | 2013-10-11 | <u>353</u> (U) Destruction of Evid Digital and Physical |             | (U) CART notes regarding destruction of ev            |
| 1A   | 11 |            |            | 2013-10-18 | <u>354</u> (U) To notify recipient: Digital             |             | (U) LHM Concerning Case Closing                       |
| 1C   | 1  | Data Migra | 2008-03-15 |            | <u>1</u> (U// <del>FOUO</del> ) REQUEST S Physical      |             | (U// <del>FOUO</del> ) ORIGINAL NOTES RE: [redacted]  |
| 1C   | 2  | Data Migra | 2008-03-15 |            | <u>1</u> (U// <del>FOUO</del> ) REQUEST S Physical      |             | (U// <del>FOUO</del> ) ORIGINAL NOTES RE: [redacted]  |
| 1C   | 3  | Data Migra | 2008-03-15 |            | <u>1</u> (U// <del>FOUO</del> ) REQUEST S Physical      |             | (U// <del>FOUO</del> ) ORIGINAL NOTES RE: [redacted]  |

b6  
b7c

[redacted] and his business card.

e-mail and tracking information.

rial Number [redacted]

by DOJ Trial Attorney [redacted]

Documents that were Converted to a 1A

cerning the destruction of evidence

ems 1B3, 1B4, 1B5, 1B10, 1B11, 1B12, & 1B16 documenting destruction of evidence.

evidence

IK ACCOUNTS AND TRANSACTIONS LINK CHART

MPANIES LINK CHART

ACCOUNTS AND TRANSACTIONS [redacted]

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b7E

b7E

File Number 205-WF-236544-7A

(W)

Field Office Acquiring Evidence WF

Serial # of Originating Document

Date Received 6/25/12

From

(Name of Contributor/Interviewee)

(Address)

England

(City and State)

By SATo Be Returned  Yes  NoReceipt Given  Yes  No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)

Federal Rules of Criminal Procedure

 Yes  No

Federal Taxpayer Information (FTI)

 Yes  No

Title:

Reference: \_\_\_\_\_  
(Communication Enclosing Material)Description:  Original notes re-interview of \_\_\_\_\_Meeting with [redacted] and his business cardb6  
b7Cb6  
b7C

6/25

Int

Int'l st. bodes, Int'l influent st. bodes

- Core right body st

- Board and contract

b6  
b7C  
b7D

- New Int'l st. HR

Replaced

Will lead up trans. program

- Board - Denmark

Operations

- Core to have Int'l st. work

for & bodes

b6  
b7C  
b7D

Met w/ [redacted]

- SA

All st. use JV, BAC to be right to  
audit. [redacted] min. months for calc

JV

5 - 6 JVs - SA

Contract the other a year after  
by appointed.

b6  
b7C

Set up others others that p. copy for law  
and up for to discuss issues.

File Number 205-WF-236544

(A2)

Field Office Acquiring Evidence WF

Serial # of Originating Document \_\_\_\_\_

Date Received 7/25/2012

From \_\_\_\_\_  
(Name of Contributor/Interviewee)

b6  
b7C

(Address)

England

(City and State)

To Be Returned  Yes

No

Receipt Given  Yes

No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)

**Federal Rules of Criminal Procedure**

Yes

No

#### Federal Taxpayer Information (FTI)

Yes

No

Title:

Reference: \_\_\_\_\_  
(Communication Enclosing Material)

Description:  Original notes re interview of

~~Copy of~~ Confirmation of receipt  
of documents email and tracking  
information.

FEDERAL BUREAU OF INVESTIGATION  
935 PENNSYLVANIA AVENUE, NW  
WASHINGTON, D.C. 20535-0001

PLEASE TYPE OR PRINT CLEARLY

TO:

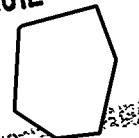


England

Date: 07/19/2012

MAILED 13

JUL 23 2012



Number of Boxes: 1

Very Important:  
Telephone Number  
of Recipient ( )b6  
b7C

Logistics Unit and Mail Center, JEH FBI Building, Room 1P241  
Mailing/Shipping hours: 7 a.m. to 4 p.m.

Ref: \_\_\_\_\_ Date: 23Jul12 SHIPPING: 40.27  
Dep: \_\_\_\_\_ Wgt: 6.55 LBS SPECIAL: 2.82  
CV: \_\_\_\_\_ 0.00 HANDLING: 0.00  
# of Pkgs: 1 TOTAL: 43.09 inc

Svcs: INTL PRIORITY

NEED-TO-KNOW RESTRICTIONS: Top Secret, Sensitive Compartmented Information is strictly prohibited. To ensure the safety, security and integrity of services provided, it is mandatory to report such violations to appropriate authorities.

b7E

## CHECK ONE BOX (Required):

FedEx Standard Express (Next-business-day, 3 p.m. to 5 p.m. delivery commitment, most U.S. locations; Required service for classified and sensitive materials)  
 FedEx 2 Day Express  
 FedEx 3 Day Express  
 FedEx Ground (Continental U.S. only, 1-6 day service; No official documents; primarily used for supplies)  
 U.S. Department of State Diplomatic Pouch (For Legats)

USPS Express (P.O. Boxes, APO and FPO Addresses Only)  
 USPS Registered  
 USPS Certified  
 FBI Courier (Hand delivery - Washington D.C. Metro Area only)  
 Commercial Freight Service (150 pounds or more, restricted for occasions where the above services are not suitable, over-sized, cost prohibited, unique delivery required)  
 Other, please specify: \_\_\_\_\_

## CLASSIFICATION LEVEL (Required):

 Unclassified-Not Sensitive Sensitive, But Unclassified Confidential Secret

(Sensitive material is information that, if disclosed, could adversely affect the ability of the FBI to accomplish its mission. Examples of sensitive information might be the identity of undercover agents, names of individuals under investigation, or personal data on individuals.)

## SPECIAL SERVICES:

Saturday Delivery  
 Fragile Material (Describe below)  
 Perishable Material (Describe below)  
 Return Receipt (USPS only)

 Hazardous Material

Packaged by \_\_\_\_\_ Date \_\_\_\_\_

(Signature)

(A detailed description of all Hazardous Material being shipped must be provided below.)

## DESCRIPTION OF CONTENTS (Required):

Documents that are being returned.

Value of Contents \$ 0.00

FBI Property Number(s): N/A

FBI File #: 205-WF-236544

## Return To (Required):

Name SA \_\_\_\_\_

b6

b7C

b7E

Cost Code: 3920

Room CR-22 WFO Ext. \_\_\_\_\_

Accountable/Tracking # \_\_\_\_\_

(For Logistics Use Only)

**From:** [REDACTED]  
**Sent:** Wednesday, July 25, 2012 3:47 PM  
**To:** [REDACTED]  
**Subject:** Re [REDACTED]

Thank you for the receipt confirmation. I'm glad that the documents arrived without delay. Have a good night and enjoy the Olympics.

Regards,

**From:** [REDACTED]  
**To:** [REDACTED]  
**Sent:** Wed Jul 25 15:39:07 2012  
**Subject:** Re: [REDACTED]

Hi

Many thanks the delivery arrived today, The Palace brochure together with the other documents, several of which are of much interest, glad to have them back.

Best regards

On 18 July 2012 22:07, wrote:

I hope that you are prepared for the Olympic insanity that is soon to fall upon London, if it hasn't already. I'm sure you will be as far from it as possible.

I have the brochure as well as a few other documents that were with it that I will be happy to forward to you. Can you please provide me with your current mailing address?

Regards,

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b7C  
b7E

b6  
b7c  
b7E

From: [redacted]  
Sent: Tuesday, July 17, 2012 3:21 PM  
To: [redacted]  
Subject: [redacted]

b6  
b7C  
b7E

Hi [redacted]

I hope that you are well and that wherever you are its not too hot, its cold, wet and windy here.

Sorry to bother you but some situations never seem to go away. You may recall that [redacted]

essentially a very [redacted] for social use in the desert. I mentioned sometime ago that the specially produced brochure I gave to yourselves was not returned with the other material and there are no other copies. A situation has arisen where the brochure would be of great use. Is it possible that your archivist could locate it and that it could be returned to me please. I seem to recall that the brochure was landscape shaped with 20/30 glossy pages.

Best regards

[redacted]

b6  
b7C

IA7

File Number 205-WF-236544

Field Office Acquiring Evidence \_\_\_\_\_

Serial # of Originating Document \_\_\_\_\_

Date Received 10/22/13From WFO/NVRA Evidence Control  
(Name of Contributor/Interviewee)

(Address) \_\_\_\_\_

(City and State) \_\_\_\_\_

By ECT b6  
b7CTo Be Returned  Yes  NoReceipt Given  Yes  NoGrand Jury Material - Disseminate Only Pursuant to Rule 6 (e)  
Federal Rules of Criminal Procedure\_\_\_\_\_  
 Yes  NoFederal Taxpayer Information (FTI)  
 Yes  No

Title: \_\_\_\_\_

Reference: \_\_\_\_\_  
(Communication Enclosing Material)Description:  Original notes re interview ofFD-192, FD1004  
IB13

11/05/09  
14:47:55

FD-192

ICMIPR01  
Page 1

Title and Character of Case:

BAE SYSTEMS PLC  
BAE SYSTEMS

Date Property Acquired: Source from which Property Acquired:

10/29/2009

Anticipated Disposition: Acquired By: Case Agent:

b3  
b6  
b7C

Description of Property:

1B 13

Date Entered b7D

CONCERNING FEDERAL GRAND JURY SOBPOENA

Barcode: E4739129

Location: MRA4

CAB 7

DR 3

11/05/2009

Case Number: 205-WF-236544  
Owning Office: WASHINGTON FIELD

EVIDENCE COPY

FEDERAL BUREAU OF INVESTIGATION  
EVIDENCE CHAIN-OF-CUSTODY

|   |  |   |   |
|---|--|---|---|
| Evidence Type: <input checked="" type="checkbox"/> General<br><input type="checkbox"/> CART   |  | <input type="checkbox"/> Drug<br><input type="checkbox"/> Valuable                                      | <input type="checkbox"/> Firearm/Weapon<br><input type="checkbox"/> Firearm/Other |
| Special Handling Instructions   |  | Initial Receipt   |   |
| <input type="checkbox"/> Batteries<br><input type="checkbox"/> HAZMAT<br><input type="checkbox"/> Req. Charging<br><input type="checkbox"/> Other |  | <input type="checkbox"/> Biohazard<br><input type="checkbox"/> Latents<br><input type="checkbox"/> None | <input type="checkbox"/> FGJ<br><input type="checkbox"/> Refrigerate              |
| Signature:  |  | Printed Name:   | Date and Time: 10/29/09<br>9:45 AM  |
| Reason: Collected   |  |   |   |
| Relinquished Custody  |  | Date and Time   | Accepted Custody  |
| Signature:<br>Printed Name:<br>Reason: To ECC   |  | 11/5/09<br>2:25 PM  | Signature:<br>Printed Name:<br>Reason: Storage                                    |
| Accepted Custody  |  | Date and Time   |   |
| Signature:<br>Printed Name:<br>Reason: Destroyed  |  | 11/5/09<br>10-22-13   | Signature:<br>Printed Name:<br>Reason:  |
| Relinquished Custody  |  | Date and Time   | Accepted Custody  |
| Signature:<br>Printed Name:<br>Reason:  |  |   | Signature:<br>Printed Name:<br>Reason:  |
| Accepted Custody  |  | Date and Time   |   |
| Signature:<br>Printed Name:<br>Reason:  |  |   | Signature:<br>Printed Name:<br>Reason:  |
| Relinquished Custody  |  | Date and Time   | Accepted Custody  |
| Signature:<br>Printed Name:<br>Reason:  |  |   | Signature:<br>Printed Name:<br>Reason:  |
| Accepted Custody  |  | Date and Time   |   |
| Signature:<br>Printed Name:<br>Reason:  |  |   | Signature:<br>Printed Name:<br>Reason:  |
| Relinquished Custody  |  | Date and Time   | Accepted Custody  |
| Signature:<br>Printed Name:<br>Reason:  |  |   | Signature:<br>Printed Name:<br>Reason:  |
| Accepted Custody  |  | Date and Time   |   |
| Signature:<br>Printed Name:<br>Reason:  |  |   | Signature:<br>Printed Name:<br>Reason:  |

b6  
b7C

## Firearms Certification:

Printed Name: \_\_\_\_\_ Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Case ID: 205-WF-236544 1B: 13 Barcode: E4739129

File Number 205-WF-236544-1A9Field Office Acquiring Evidence WF

Serial # of Originating Document \_\_\_\_\_

Date Received 10/11/2013From SA \_\_\_\_\_  
(Name of Contributor/interviewee)\_\_\_\_\_  
(Address)\_\_\_\_\_  
(City and State)

By \_\_\_\_\_

To Be Returned  Yes  NoReceipt Given  Yes  No

Grand Jury Material - Disseminate Only Pursuant to Rule 6 (e)

Federal Rules of Criminal Procedure

 Yes  NoFederal Taxpayer Information (FTI)  
 Yes  NoTitle: BAE SYSTEMS, PLC, ERALReference: \_\_\_\_\_  
(Communication Enclosing Material)Description:  Original notes re interview ofFD-197 & FD-1004 FOR ITEMS 1B3, 1B4, 1B5,  
1B10, 1B11, 1B12, AND 1B16 (16 Pgs).b6  
b7c

12/10/07  
09:31:45

FD-192

ICMIPR01  
Page 1

Title and Character of Case:

BAE SYSTEMS PLC

Date Property Acquired: Source from which Property Acquired:

11/26/2007

Anticipated Disposition: Acquired By: Case Agent:

b3  
b6  
b7c

Description of Property: Date Entered  
1B 3

Barcode: E04088624 Location: MRAY ~~Bin 2 shelf C~~ 12/10/2007  
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

*S8  
C CAB 17 DR 2*



Case Number: 205-WF-236544  
Owning Office: WASHINGTON FIELD

**EVIDENCE COPY**

FEDERAL BUREAU OF INVESTIGATION  
EVIDENCE CHAIN-OF-CUSTODYEvidence Type:  General  Drug  Valuable  Firearms  CART

| Special Handling Instructions  | Initial Receipt  | Date and Time   |
|--|--|---|
| <input type="checkbox"/> Batteries <input type="checkbox"/> Biohazard <input checked="" type="checkbox"/> FGJ<br><input type="checkbox"/> HAZMAT <input type="checkbox"/> Latents <input type="checkbox"/> Refrigerate<br><input type="checkbox"/> Req. Charging <input type="checkbox"/> None<br><input type="checkbox"/> Other _____ | Signature _____<br>Printed Name: SA<br>Reason: Collected | 11/26/07<br>10:00 am  |
| Relinquished Custody   | Date and Time  | Accepted Custody  |
| Signature _____<br>Printed Name: SA<br>Reason: released to _____   | 11/30/07<br>12:00pm                                      | Signature _____<br>Printed Name: _____<br>Reason: received from _____ |
| Relinquished Custody   | Date and Time  | Accepted Custody  |
| Signature _____<br>Printed Name: _____<br>Reason: TO ECC   | 12/26/07<br>11:00am                                      | Signature _____<br>Printed Name: _____<br>Reason: STORE               |
| Relinquished Custody   | Date and Time  | Accepted Custody  |
| Signature _____<br>Printed Name: _____<br>Reason: From Storage   | 10-4-13<br>2:10pm  | Signature _____<br>Printed Name: _____<br>Reason: To Destroy          |
| Relinquished Custody   | Date and Time  | Accepted Custody  |
| Signature _____<br>Printed Name: _____<br>Reason: To Destroy   | 10/8/13<br>11:48 AM                                      | Signature _____<br>Printed Name: _____<br>Reason: Destroyed           |
| Relinquished Custody   | Date and Time  | Accepted Custody  |
| Signature: _____<br>Printed Name: _____<br>Reason: _____   | _____  | Signature: _____<br>Printed Name: _____<br>Reason: _____              |

## Firearms Certification:

Printed Name: \_\_\_\_\_ Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Case ID: 205-WF-236544

1B: 3

Barcode: EO4088624

b6  
b7Cb6  
b7Cb6  
b7C

12/10/07  
09:32:52

FD-192

ICMIPR01  
Page 1

Title and Character of Case:

BAE SYSTEMS PLC

Date Property Acquired: Source from which Property Acquired:

11/27/2007

Anticipated Disposition: Acquired By: Case Agent:

b3  
b6  
b7c

Description of Property:

1B 4

Date Entered

FGJ SUBPOENA

Barcode: E04088625 Location: ~~MR4~~ Bin 2 shelf A  
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

MR4 Bin 1 shelf A

12/10/2007



Case Number: 205-WF-236544  
Owning Office: WASHINGTON FIELD

EVIDENCE COPY

FEDERAL BUREAU OF INVESTIGATION  
EVIDENCE CHAIN-OF-CUSTODY

Evidence Type:  General  Drug  Valuable  Firearms  CART

| Special Handling Instructions  | Initial Receipt  | Date and Time   |   |
|--|--|---|---|
| <input type="checkbox"/> Batteries <input type="checkbox"/> Biohazard <input checked="" type="checkbox"/> FGJ<br><input type="checkbox"/> HAZMAT <input type="checkbox"/> Latents <input type="checkbox"/> Refrigerate<br><input type="checkbox"/> Req. Charging <input type="checkbox"/> None<br><input type="checkbox"/> Other _____ | Signature _____<br>Printed Name: SA<br>Reason: Collected | 11/27/07<br>4:15pm  |   |
| <b>Relinquished Custody</b><br>Signature _____<br>Printed Name: SA<br>Reason: released to  | <b>Date and Time</b><br>11/30/07<br>12:00pm              | <b>Accepted Custody</b><br>Signature _____<br>Printed Name _____<br>Reason: received from | <b>Date and Time</b><br>12/3/07<br>4:00pm   |
| <b>Relinquished Custody</b><br>Signature _____<br>Printed Name _____<br>Reason: To EOC   | <b>Date and Time</b><br>12/26/07<br>11AM                 | <b>Accepted Custody</b><br>Signature _____<br>Printed Name _____<br>Reason: Storage       | <b>Date and Time</b><br>12/6/07<br>11:00AM  |
| <b>Relinquished Custody</b><br>Signature _____<br>Printed Name _____<br>Reason: From Storage   | <b>Date and Time</b><br>10-4-13<br>2:10pm                | <b>Accepted Custody</b><br>Signature _____<br>Printed Name _____<br>Reason: To Destroy    | <b>Date and Time</b><br>10/4/13<br>2:10PM   |
| <b>Relinquished Custody</b><br>Signature _____<br>Printed Name _____<br>Reason: To Destroy   | <b>Date and Time</b><br>10/8/13<br>12:04 PM              | <b>Accepted Custody</b><br>Signature _____<br>Printed Name _____<br>Reason: Destroyed     | <b>Date and Time</b><br>10/8/13<br>12:05 PM |
| <b>Relinquished Custody</b><br>Signature _____<br>Printed Name _____<br>Reason: _____  | <b>Date and Time</b><br>_____                            | <b>Accepted Custody</b><br>Signature _____<br>Printed Name _____<br>Reason: _____         | <b>Date and Time</b><br>_____               |

Firearms Certification:

Printed Name: \_\_\_\_\_ Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Case ID: 205-WF-236544

1B: 4

Barcode: E04088625

b6  
b7C

b6  
b7C

b6  
b7C

12/10/07  
09:34:38

FD-192

ICMIPR01  
Page 1

Title and Character of Case:

BAE SYSTEMS PLC

Date Property Acquired: Source from which Property Acquired:

11/27/2007

Anticipated Disposition: Acquired By: Case Agent:

Description of Property:  
1B 5

Date Entered  
b6  
b7c

[REDACTED] FGJ SUBPOENA

Barcode: E04088626 Location: MRAF ~~Bin 2 shelf 2~~  
Grand Jury Material (Disseminate only pursuant to Rule 6(e))

12/10/2007

C CAB 17 DR 2



Case Number: 205-WF-236544  
Owning Office: WASHINGTON FIELD

EVIDENCE COPY

FEDERAL BUREAU OF INVESTIGATION  
EVIDENCE CHAIN-OF-CUSTODYEvidence Type:  General  Drug  Valuable  Firearms  CART

| Special Handling Instructions  |                     | Initial Receipt                                     |                      | Date and Time      |
|--|---------------------|---|----------------------|--------------------|
| <input type="checkbox"/> Batteries <input type="checkbox"/> Biohazard <input checked="" type="checkbox"/> FGJ<br><input type="checkbox"/> HAZMAT <input type="checkbox"/> Latents <input type="checkbox"/> Refrigerate<br><input type="checkbox"/> Req. Charging <input type="checkbox"/> None<br><input type="checkbox"/> Other |                     | Signature<br>Printed Name: SA<br>Reason: Collected  |                      | 11/27/07<br>4:15pm |
| Relinquished Custody   | Date and Time       | Accepted Custody                                    | Date and Time        |                    |
| Signature<br>Printed Name: SA<br>Reason: released to   | 11/30/07<br>12:00pm | Signature<br>Printed Name:<br>Reason: received from | 12/3/07<br>4pm       |                    |
| Relinquished Custody   | Date and Time       | Accepted Custody                                    | Date and Time        |                    |
| Signature<br>Printed Name:<br>Reason: TO ECC   | 12/26/07<br>11:00am | Signature<br>Printed Name:<br>Reason: STORAGE       | 12/26/07<br>11:00 AM |                    |
| Relinquished Custody   | Date and Time       | Accepted Custody                                    | Date and Time        |                    |
| Signature<br>Printed Name:<br>Reason: From Storage   | 10-4-13<br>2/0pm    | Signature<br>Printed Name:<br>Reason: To Destroy    | 10/4/13<br>2:10 pm   |                    |
| Relinquished Custody   | Date and Time       | Accepted Custody                                    | Date and Time        |                    |
| Signature<br>Printed Name:<br>Reason: To Destroy   | 10/8/13<br>12:12 pm | Signature<br>Printed Name:<br>Reason: Destroyed     | 10/8/13<br>12:13 pm  |                    |
| Relinquished Custody   | Date and Time       | Accepted Custody                                    | Date and Time        |                    |
| Signature:<br>Printed Name:<br>Reason:   |                     | Signature:<br>Printed Name:<br>Reason:              |                      |                    |

b6  
b7cb6  
b7cb6  
b7c

## Firearms Certification:

Printed Name: \_\_\_\_\_ Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Case ID: 205-WF-236544

1B: 5

Barcode: EL4088626

09/08/09  
09:53:59

FD-192

ICMIPR01  
Page 1

---

Title and Character of Case:

BAE SYSTEMS PLC  
BAE SYSTEMS

Date Property Acquired: Source from which Property Acquired:

09/03/2009

Anticipated Disposition: Acquired By:

Case Agent:

b6  
b7C  
b7E

Description of Property:

1B 12

Date Entered

Barcode: E4330381

Location: MRA1

CAB 16

DR 2

09/08/2009



---

Case Number: 205-WF-236544  
Owning Office: WASHINGTON FIELD

EVIDENCE COPY

FEDERAL BUREAU OF INVESTIGATION  
EVIDENCE CHAIN-OF-CUSTODY

Evidence Type:  General  Drug  Valuable  Firearms  CART

| Special Handling Instructions   |  | Initial Receipt                                  | Date and Time                                     |                    |
|---|--|--|---|--------------------|
| <input type="checkbox"/> Batteries <input type="checkbox"/> Biohazard <input type="checkbox"/> FGJ<br><input type="checkbox"/> HAZMAT <input type="checkbox"/> Latents <input type="checkbox"/> Refrigerate<br><input type="checkbox"/> Req. Charging <input type="checkbox"/> None<br><input type="checkbox"/> Other |  | Signature:<br>Printed Name:<br>Reason: Collected | 09/03/09<br>12:30pm                               |                    |
| Relinquished Custody  |  | Date and Time                                    | Accepted Custody                                  |                    |
| Signature:<br>Printed Name:<br>Reason: TO ECC   |  | 9/3/09<br>12:45pm                                | Signature:<br>Printed Name:<br>Reason: Storage    | 9/3/09<br>12:45pm  |
| Relinquished Custody  |  | Date and Time                                    | Accepted Custody                                  |                    |
| Signature:<br>Printed Name:<br>Reason: From Storage   |  | 11/5/09<br>02:25pm                               | Signature:<br>Printed Name:<br>Reason: Review     | 11/5/09<br>2:25pm  |
| Relinquished Custody  |  | Date and Time                                    | Accepted Custody                                  |                    |
| Signature:<br>Printed Name:<br>Reason: To ECC   |  | 2/16/10<br>2:54pm                                | Signature:<br>Printed Name:<br>Reason: STORAGE    | 2/16/10<br>02:54pm |
| Relinquished Custody  |  | Date and Time                                    | Accepted Custody                                  |                    |
| Signature:<br>Printed Name:<br>Reason: From Storage   |  | 10-4-13<br>2:10pm                                | Signature:<br>Printed Name:<br>Reason: To Destroy | 10/4/13<br>2:10pm  |
| Relinquished Custody  |  | Date and Time                                    | Accepted Custody                                  |                    |
| Signature:<br>Printed Name:<br>Reason: To Destroy   |  | 10/8/13<br>12:20pm                               | Signature:<br>Printed Name:<br>Reason: Destroyed  | 10/8/13<br>12:20pm |

Firearms Certification:

Printed Name: \_\_\_\_\_ Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Case ID: 205-WF- 236544 1B: 12 Barcode: E4330381

b6  
b7c

b6  
b7c

b6  
b7c

02/17/09  
15:45:26

FD-192

ICMIPR01  
Page 1

Title and Character of Case:

BAE SYSTEMS PLC  
BAE SYSTEMS

Date Property Acquired: Source from which Property Acquired:

02/10/2009

b6  
b7C  
b7E

Anticipated Disposition: Acquired By: Case Agent:

Description of Property:

1B 11

Date Entered

Barcode: E4329039

Location: MRA1

CAB 23

DR 1

02/17/2009



**E4329039**

Case Number: 205-WF-236544  
Owning Office: WASHINGTON FIELD

*EVIDENCE COPY*

FEDERAL BUREAU OF INVESTIGATION  
EVIDENCE CHAIN-OF-CUSTODYEvidence Type:  General  Drug  Valuable  Firearms  CART

| Special Handling Instructions          |                                    | Initial Receipt                      |                   | Date and Time |
|--|------------------------------------|--------------------------------------|-------------------|---------------|
| <input type="checkbox"/> Batteries     | <input type="checkbox"/> Biohazard | <input type="checkbox"/> FGJ         | Signature:        | 02/10/09      |
| <input type="checkbox"/> HAZMAT        | <input type="checkbox"/> Latents   | <input type="checkbox"/> Refrigerate | Printed Name:     | 5:00pm        |
| <input type="checkbox"/> Req. Charging | <input type="checkbox"/> None      |                                      | Reason: Collected |               |
| <input type="checkbox"/> Other _____   |                                    |                                      |                   |               |
| Relinquished Custody                   |                                    | Date and Time                        | Accepted Custody  | Date and Time |
| Signature:                             | 02/17/09                           | Signature:                           | 2/17/09           |               |
| Printed Name:                          | 3:33 pm                            | Printed Name:                        |                   |               |
| Reason: To ECC                         |                                    | Reason: Storage                      | 03 34 pp          |               |
| Relinquished Custody                   |                                    | Date and Time                        | Accepted Custody  | Date and Time |
| Signature:                             | 02/17/09                           | Signature:                           | 2/17/09           |               |
| Printed Name:                          |                                    | Printed Name:                        |                   |               |
| Reason: From Storage                   | 03 45P                             | Reason: CART 6:46 am                 | 3:46 pm           |               |
| Relinquished Custody                   |                                    | Date and Time                        | Accepted Custody  | Date and Time |
| Signature:                             | 01/20/11                           | Signature:                           | 1/20/11           |               |
| Printed Name:                          | 3:47 pm                            | Printed Name:                        |                   |               |
| Reason: To ECC                         |                                    | Reason: Storage                      | 3:47 pm           |               |
| Relinquished Custody                   |                                    | Date and Time                        | Accepted Custody  | Date and Time |
| Signature:                             | 10-4-13                            | Signature:                           | 10/4/13           |               |
| Printed Name:                          | 2:00 pm                            | Printed Name:                        |                   |               |
| Reason: From Storage                   |                                    | Reason: To Destroy                   | 2:10 pm           |               |
| Relinquished Custody                   |                                    | Date and Time                        | Accepted Custody  | Date and Time |
| Signature:                             | 10/8/13                            | Signature:                           | 10/8/13           |               |
| Printed Name:                          | 1:17 PM                            | Printed Name:                        |                   |               |
| Reason: To Destroy                     |                                    | Reason: Destroyed                    | 1:43 PM           |               |

## Firearms Certification:

Printed Name: \_\_\_\_\_ Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Case ID: 205-WF-236544 1B: 11 Barcode: E4329039

b6  
b7Cb6  
b7Cb6  
b7C

02/11/09  
16:17:09

FD-192

ICMIPR01  
Page 1

Title and Character of Case:

BAE SYSTEMS PLC  
BAE SYSTEMS

Date Property Acquired: Source from which Property Acquired:

02/11/2009

Anticipated Disposition: Acquired By: Case Agent:

Description of Property:

1B 10

Date Entered

b6

b7C

b7E

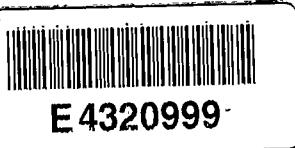
Barcode: E4320999

Location: MRA1

CAB 22

DR 4

02/11/2009



Case Number: 205-WF-236544  
Owning Office: WASHINGTON FIELD

EVIDENCE COPY

FEDERAL BUREAU OF INVESTIGATION  
EVIDENCE CHAIN-OF-CUSTODYEvidence Type:  General  Drug  Valuable  Firearms  CART

| Special Handling Instructions   |                    | Initial Receipt                                   | Date and Time       |
|---|--------------------|---|---------------------|
| <input type="checkbox"/> Batteries <input type="checkbox"/> Biohazard <input type="checkbox"/> FGJ<br><input type="checkbox"/> HAZMAT <input type="checkbox"/> Latents <input type="checkbox"/> Refrigerate<br><input type="checkbox"/> Req. Charging <input type="checkbox"/> None<br><input type="checkbox"/> Other _____ |                    | Signature:<br>Printed Name:<br>Reason: Collected  | 02/11/09<br>8:30 AM |
| Relinquished Custody  | Date and Time      | Accepted Custody                                  | Date and Time       |
| Signature:<br>Printed Name:<br>Reason: TO FCC   | 10:49 AM           | Signature:<br>Printed Name:<br>Reason: Storage    | 2/11/09<br>10:50 AM |
| Relinquished Custody  | Date and Time      | Accepted Custody                                  | Date and Time       |
| Signature:<br>Printed Name:<br>Reason: FROM Storage   | 10-4-13<br>2:09    | Signature:<br>Printed Name:<br>Reason: To Destroy | 10-4-13<br>2:10 PM  |
| Relinquished Custody  | Date and Time      | Accepted Custody                                  | Date and Time       |
| Signature:<br>Printed Name:<br>Reason: To Destroy   | 10/8/13<br>4:56 PM | Signature:<br>Printed Name:<br>Reason: Destroyed  | 10/8/13<br>5:05 PM  |
| Relinquished Custody  | Date and Time      | Accepted Custody                                  | Date and Time       |
| Signature:<br>Printed Name:<br>Reason:  |                    | Signature:<br>Printed Name:<br>Reason:            |                     |
| Relinquished Custody  | Date and Time      | Accepted Custody                                  | Date and Time       |
| Signature:<br>Printed Name:<br>Reason:  |                    | Signature:<br>Printed Name:<br>Reason:            |                     |

## Firearms Certification:

Printed Name: \_\_\_\_\_ Signature: \_\_\_\_\_ Date: \_\_\_\_\_  
Case ID: 105-WF-236544 1B: 10 Barcode: E4320999b6  
b7cb6  
b7c

02/16/10  
15:16:54

FD-192

ICMIPR01  
Page 1

Title and Character of Case:

BAE SYSTEMS PLC  
BAE SYSTEMS

Date Property Acquired: Source from which Property Acquired:

02/05/2010

b6  
b7C  
b7E

Anticipated Disposition: Acquired By: Case Agent:

Description of Property:

1B 16

Date Entered

Barcode: E4739351

Location: MRA1

CAB 34

DR 3

02/16/2010



Case Number: 205-WF-236544  
Owning Office: WASHINGTON FIELD

EVIDENCE COPY

FEDERAL BUREAU OF INVESTIGATION  
EVIDENCE CHAIN-OF-CUSTODY

|   |  |   |   |
|---|--|---|---|
| Evidence Type: <input type="checkbox"/> General<br><input checked="" type="checkbox"/> CART   |  | <input type="checkbox"/> Drug<br><input type="checkbox"/> Valuable                                      | <input type="checkbox"/> Firearm/Weapon<br><input type="checkbox"/> Firearm/Other |
| Special Handling Instructions   |  | Initial Receipt   | Date and Time   |
| <input type="checkbox"/> Batteries<br><input type="checkbox"/> HAZMAT<br><input type="checkbox"/> Req. Charging<br><input type="checkbox"/> Other |  | <input type="checkbox"/> Biohazard<br><input type="checkbox"/> Latents<br><input type="checkbox"/> None | <input type="checkbox"/> FGJ<br><input type="checkbox"/> Refrigerate              |
| Signature<br>Printed Name<br>Reason: To ECC   |  | Signature<br>Printed Name<br>Reason: Collected  | 02/05/2010 9:30 AM  |
| Relinquished Custody  |  | Date and Time   | Date and Time   |
| Signature<br>Printed Name<br>Reason: To ECC   |  | Signature<br>Printed Name<br>Reason: Collected  | 2/16/10 2:54 PM   |
| Relinquished Custody  |  | Date and Time   | Date and Time   |
| Signature<br>Printed Name<br>Reason: From Storage   |  | Signature<br>Printed Name<br>Reason: CANT Exca  | 03-16-10 4:45 PM  |
| Relinquished Custody  |  | Date and Time   | Date and Time   |
| Signature<br>Printed Name<br>Reason: To ECC   |  | Signature<br>Printed Name<br>Reason: Storage  | 4/11/10 10:40 AM  |
| Relinquished Custody  |  | Date and Time   | Date and Time   |
| Signature<br>Printed Name<br>Reason: From Storage   |  | Signature<br>Printed Name<br>Reason: To Destroy   | 10/4/13 2:10 PM   |
| Relinquished Custody  |  | Date and Time   | Date and Time   |
| Signature<br>Printed Name<br>Reason: To Destroy   |  | Signature<br>Printed Name<br>Reason: Destroyed  | 10/9/13 2:15 PM   |

Firearms Certification:

Printed Name: \_\_\_\_\_ Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Case ID: 105-WF-236544 1B: 16 Barcode: E4739351

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b7c

b6  
b7c

b6  
b7c

UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION

File # 205-WF-236544

On (date) February 5, 2010 9:30 AM

item(s) listed below were:

Received From  
 Returned To  
 Released To  
 Seized

(Name)

(Street Address)

(City) VA

Description of Item(s): \_\_\_\_\_ b7C  
\_\_\_\_\_ b7E

\_\_\_\_\_

—  
—  
—

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... 600

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1671

\_\_\_\_\_

Received By: \_\_\_\_\_ Received From: \_\_\_\_\_

(Signature)

Received From:

b6  
b7C

# FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 02/16/2010

To: Washington Field

From: Washington Field

[REDACTED] NVRA

Contact: SA [REDACTED]

Approved By: [REDACTED]

Drafted By: [REDACTED]

Case ID #: 205-WF-236544 (Pending)  
321A-WF-A226437-C2 — 674

Title: BAE SYSTEMS, plc;  
BAE SYSTEMS, Inc;  
AL-YAMAMAH ARMS DEAL;  
PAYMENTS TO SAUDI ARABIAN OFFICIALS;  
FOREIGN CORRUPT PRACTICES ACT OF 1977

Synopsis: EC regarding submission of evidence beyond the ten (10) day limit.

Details: [REDACTED] received from ICE SA [REDACTED] on 02/05/2010. This evidence was submitted to the Evidence Control Technicians (ECT's) past the ten (10) day limit because the Government offices were closed during the week of 02/08 due to snow and closed on 02/15 for President's Day.

♦♦

1B16

b6  
b7C  
b7E

b6  
b7C  
b7E

# FEDERAL BUREAU OF INVESTIGATION

**Precedence:** ROUTINE

**Date:** 06/28/2007

**To:** Washington Field

**From:** Washington Field

██████████ NVRA

**Contact:** SA ██████████

**Approved By:** ██████████

**Drafted By:** ██████████

b6  
b7C  
b7E

**Case ID #:** 205-WF-236544 (Pending) -3  
205-WF-236544-GJ -1  
205-WF-236544-302 -1  
205-WF-236544-MED -1  
205-WF-2336544-LEGAT -1

**Title:** BAE SYSTEMS, plc;  
BAE SYSTEMS, Inc;  
Al-YAMAMAH ARMS DEAL;  
PAYMENTS TO SAUDI ARABIAN OFFICIALS;  
FOREIGN CORRUPT PRACTICES ACT OF 1977

**Synopsis:** To request the opening of subfiles GJ, 302, MED, and LEGAT in captioned investigation.

**Details:** It is requested that the following subfiles be opened in captioned investigation as follows:

|       |  |
|-------|--|
| GJ    | To hold Grand Jury subpoenas and documents |
| 302   | To hold all FD-302s                        |
| MED   | To hold all media related to the case      |
| LEGAT | To hold all information provided by Legats |

♦♦

7-6-07

SST  
Open Sub Files

b6  
b7C

7-6-07

Automated Serial Permanent Charge-Out  
FD-5a (1-5-94)

Date: 07/19/07 Time: 09:46

Case ID: 205-WF-236544 Serial: 4

Description of Document:

Type : EC  
Date : 07/18/07  
To : WASHINGTON FIELD  
From : WASHINGTON FIELD  
Topic: REQUEST IA [REDACTED] BE ASSIGNED TO ASSIST IN

b6  
b7C

Reason for Permanent Charge-Out:

correction needed on ec.

Employee: [REDACTED]

# FEDERAL BUREAU OF INVESTIGATION

**Precedence:** ROUTINE

**Date:** 07/18/2007

**To:** Washington Field

**Attn:** SSA [redacted]

**From:** Washington Field

[redacted] /NVRA

**Contact:** SA [redacted]

b6  
b7C  
b7E

**Approved By:** [redacted]

**Drafted By:** [redacted]

**Case ID #:** 205-WF-236544 (Pending) -5

**Title:** BAE SYSTEMS, plc;  
BAE SYSTEMS, Inc.;  
AL-YAMAMAH ARMS DEAL;  
PAYMENTS TO SAUDI ARABIAN OFFICIALS;  
FCPA

**Synopsis:** Request IA [redacted] be assigned to assist in  
captioned investigation.

b6  
b7C

**Details:** Captioned investigation is a highly sensitive public  
corruption investigation involving the payment of bribes from  
[redacted] to [redacted] through the Al-Yamamah Arms  
Deal.

b6  
b7C

It is requested that IA [redacted] assist the two case  
agents in the location of several United States witnesses, and  
conduct research on numerous properties and businesses located  
in the United States. It is also requested that he assist  
with the compilation of subject, witnesses, and company  
comprehensive spreadsheets which will eventually be used for a  
chart to show money laundering. IA [redacted] assistance is  
needed for the duration of captioned investigation.

b6  
b7C

b6  
b7C

To: Washington Field From: Washington Field  
Re: 205-WF-236544, 07/18/2007

LEAD(s):

Set Lead 1: (Action)

WASHINGTON FIELD

AT NVRA

It is requested that IA [redacted] be assigned to assist with captioned investigation for the duration of the investigation.

b6  
b7c

♦♦

# FEDERAL BUREAU OF INVESTIGATION

**Precedence:** ROUTINE

**Date:** 07/19/2007

**To:** London

**Attn:** [redacted]

Criminal Investigative

**Attn:** Public Corruption Unit

b6  
b7C

US  
SSA

**From:** Washington Field

NVRA/[redacted]

**Contact:** SA [redacted]

SA [redacted]

**Approved By:** [redacted]

b6  
b7C  
b7E

**Drafted By:** [redacted]

**Case ID #:** 205-WF-236544 (Pending) -8

**Title:** BAE SYSTEMS, plc;  
BAE SYSTEMS, Inc;  
Al-YAMAMAH ARMS DEAL;  
PAYMENTS TO SAUDI ARABIAN OFFICIALS;  
FOREIGN CORRUPT PRACTICES ACT OF 1977

**Synopsis:** Request assistance from Legat London in captioned investigation.

**Enclosure(s):** Enclosed for London is a copy of the opening LHM for captioned investigation.

**Details:** Captioned case is a sensitive FCPA investigation into BAE paying bribes to Saudi Arabian Officials through the Al-Yamamah Arms Deal.

As previously discussed between Writer and [redacted]  
[redacted] DOJ Fraud Section [redacted]

b3  
b6  
b7C  
b7D

To: London From: Washington Field  
Re: 205-WF-236544, 07/19/2007

[Redacted]

[Redacted]

[Redacted]

b3  
b6  
b7C  
b7D

b6  
b7C  
b7D

b6  
b7C  
b7D

To: London From: Washington Field  
Re: 205-WF-236544, 07/19/2007

LEAD(s):

Set Lead 1: (Action)

LONDON

AT LONDON



b3  
b6  
b7C  
b7D  
b7E



b3  
b6  
b7C  
b7D  
b7E

♦♦

# FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 08/14/2007

To: Washington Field

Attn: SAS  
FS

CID  
London

Attn: SSA  
Attn: ALAT

b3  
b6  
b7C  
b7E

From: Washington Field

NVRA

Contact: SA

Approved By:

Drafted By:

Case ID #: 205-WF-236544 (Pending) - 9

Title: RESTRICTED ACCESS IN ACS  
FOR FOREIGN CORRUPT PRACTICES ACT OF 1977 CASE

Synopsis: To request restricted access FBI case file number: 205-WF-236544

Details: On 06/19/07, SSA [REDACTED] was notified by Section Chief Nancy Nelson that FBIHQ supported the opening of an investigation into certain payments that were made by BAE Systems, plc to Saudi Officials under the auspices of the Al Yamamah defense contract. [REDACTED] subsequently initiated a Foreign Corrupt Practices Act (FCPA) case pertaining to a BAE Systems, plac and BAE Systems, Incorporated. Due to the sensitive nature of this investigation, it is necessary to restrict access to the information pertaining to this matter in ACS to avoid compromise of the investigation and to ensure the safety of sources.

b6  
b7C  
b7E

The following WFO, London and FBIHQ personnel will be granted access to this case:

ADIC  
SAC  
ASAC  
SSA  
SSA  
SSA  
CDC  
ADC  
SA  
SA  
SA  
SST  
IA

WFO  
WFO, CID  
WFO, Branch II, WCC  
WFO, [REDACTED]  
CID, FBIHQ  
CID, FBIHQ  
WFO  
WFO  
WFO, [REDACTED]  
WFO, [REDACTED]  
WFO, [REDACTED]  
WFO, [REDACTED]

b6  
b7C  
b7E

b6  
b7C

To: Washington Field From: Washington Field  
Re: 205-WF-236544, 08/14/2007



b3  
b6  
b7C  
b7E

Other agents and personnel may be added on a case by case basis as warranted.

To: Washington Field From: Washington Field  
Re: 205-WF-236544, 08/14/2007

LEAD(s) :

Set Lead 1: (Action)

CRIMINAL INVESTIGATIVE

AT WASHINGTON, DC

Read and clear.

Set Lead 2: (Action)

LONDON

AT LONDON, ENGLAND

Read and clear.

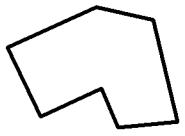
♦♦

205-WE-236544



-18

b6  
b7C



Date: 6/18/07

TO: DD John S. Pistole  
FROM: AD Kenneth W. Kaiser  
SUBJECT: BAE - Foreign Corrupt Practices Act

[Redacted body of the message]

b6  
b7C  
b7E

b7D

## Investigative Importance

The DOJ probe will focus on whether the company, by using US banks, violated the Foreign Corrupt Practices Act and US money laundering statutes. The laws prohibit bribery in soliciting contracts and bar companies involved in such practices from the US market. This is necessary to level the playing field for all contractors, including US contractors

b7E

DOJ advises this will be the largest FCPA case to date involving billions in bribes. The largest one up to now is the Baker Hughes case which involved \$2.4 million in bribes. They were fined \$26 million.

## Proposed Investigation

b3  
b6  
b7C  
b7C  
b7E

b3  
b6  
b7C  
b7D  
b7E

**DOJ Prosecution**

|  |
|--|
|  |
|  |
|  |

b3  
b6  
b7C  
b7D  
b7E

**Time Sensitivity**

|  |
|--|
|  |
|--|

b3

# FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 07/24/2007

To: Washington Field

From: Washington Field

[redacted] /NVRA

Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted]

Case ID #: 205-WF-236544 (Pending) -14

Title: BAE SYSTEMS, plc;  
BAE SYSTEMS, Inc;  
AL-YAMAMAH ARMS DEAL;  
PAYMENTS TO SAUDI ARABIAN OFFICIALS;  
FOREIGN CORRUPT PRACTICES ACT OF 1977

Synopsis: To document meeting with [redacted] at DOJ on [redacted] regarding captioned investigation.

Details: On [redacted] a meeting with [redacted] occurred at DOJ. The following individuals were present during the meeting:

[redacted]  
[redacted]  
[redacted]

[redacted]

[redacted]

b3  
b6  
b7C

b3  
b6  
b7C

b3  
b6  
b7C

b6  
b7C

To: Washington Field From: Washington Field  
Re: 205-WF-236544, 07/24/2007

[Redacted]

[Redacted]

[Redacted]

b3  
b6  
b7C  
b7D  
b7E

[Redacted]

[Redacted]

After the meeting, FBI SAs and DOJ prosecutors met to discuss [Redacted]

[Redacted]

b3  
b6  
b7C

b3  
b6  
b7C

To: Washington Field From: Washington Field  
Re: 205-WF-236544, 07/24/2007

[REDACTED]  
[REDACTED] They also need to reach out to Legat London regarding  
[REDACTED] DOJ also advised they would like [REDACTED]  
[REDACTED] to further  
discuss an investigative/prosecutive plan.

b3  
b6  
b7C  
b7D  
b7E

♦♦

# FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 07/24/2007

To: Washington Field

From: Washington Field

    | NVRA

    | Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted]

Case ID #: 205-WF-236544 (Pending) - 15

Title: BAE SYSTEMS, plc;  
BAE SYSTEMS, Inc;  
Al-YAMAMAH ARMS DEAL;  
PAYMENTS TO SAUDI ARABIAN OFFICIALS;  
FOREIGN CORRUPT PRACTICES ACT OF 1977

Synopsis: To document meeting with DOJ on [redacted] regarding investigative/prosecutive plan for captioned investigation.

Details: On [redacted] met with DOJ Attorney [redacted] at DOJ to [redacted] [redacted] for captioned investigation. The following items were discussed:

[redacted]

[redacted]

b6  
b7C  
b7E

b3  
b5  
b6  
b7C  
b7E

b3  
b5  
b6  
b7C  
b7E

b3  
b5  
b6  
b7C  
b7D  
b7E

b6  
b7C

To: Washington Field From: Washington Field  
Re: 205-WF-236544, 07/24/2007

[Redacted]

b3  
b5  
b6  
b7C

Discussion then focused on [Redacted]

[Redacted]

b3  
b5  
b6  
b7C

♦♦

[REDACTED] (WF) (FBI)

From: [REDACTED] (WF) (FBI)  
Sent: Monday, August 06, 2007 11:54 AM  
To: [REDACTED] (WF) (FBI)  
Cc: [REDACTED] (WF) (FBI)  
Subject: Checks to see if individuals are/were open WFO Sources

b6  
b7C

SENSITIVE BUT UNCLASSIFIED  
NON-RECORD

Hi [REDACTED]  
Can you please check to see if these two individuals currently are/have ever been WFO Sources?  
Thanks,  
[REDACTED]

[REDACTED]

b6  
b7C  
b7E

SA [REDACTED]  
WFO, NVRA [REDACTED]  
Desk [REDACTED]  
Cell [REDACTED]  
Blackberry [REDACTED]

SENSITIVE BUT UNCLASSIFIED

205-WF-235544-1  
[REDACTED] b6  
b7C

[REDACTED] (WF) (FBI)

From: [REDACTED] (DI) (FBI)  
Sent: Monday, August 06, 2007 2:30 PM  
To: [REDACTED] (WF) (FBI)  
Cc: [REDACTED] (WF) (FBI)  
Subject: RE [REDACTED]

b6  
b7C  
b7E

SENSITIVE BUT UNCLASSIFIED  
NON-RECORD

[REDACTED]

The results are negative for the individuals listed below.

[REDACTED]

b6  
b7C  
b7E

-----Original Message-----

From: [REDACTED] (WF) (FBI)  
Sent: Monday, August 06, 2007 1:54 PM  
To: [REDACTED] (DI) (FBI)  
Cc: [REDACTED] (WF) (FBI)  
Subject: [REDACTED]

SENSITIVE BUT UNCLASSIFIED  
NON-RECORD

[REDACTED] at WFO told me that you are the person at HQ to contact to obtain a [REDACTED] on individuals to determine if they currently are or have ever been a Source. They are both negative at WFO. Can you please run these two individuals?

Thanks so much,

[REDACTED]

b6  
b7C  
b7E

SA [REDACTED]  
WFO, NVRA [REDACTED]  
Desk [REDACTED]  
Cell [REDACTED]  
Blackberry [REDACTED]

SENSITIVE BUT UNCLASSIFIED

SENSITIVE BUT UNCLASSIFIED

[REDACTED] (WF) (FBI)

From: [REDACTED] (WF) (FBI)  
Sent: Tuesday, August 14, 2007 10:36 AM  
To: [REDACTED] (WF) (FBI)  
Subject: RE: Check to see if an individual currently is/has ever been a WFO Source

b6  
b7C

**SENSITIVE BUT UNCLASSIFIED**  
**NON-RECORD**

Since the file is closed, you ARE allowed to view it. It's a really old file number so chances are the person that operated him is no longer here.

[REDACTED]  
Washington Field

b6  
b7C  
b7E

-----Original Message-----  
From: [REDACTED] (WF) (FBI)  
Sent: Tuesday, August 14, 2007 10:35 AM  
To: [REDACTED] (WF) (FBI)  
Subject: RE: Check to see if an individual currently is/has ever been a WFO Source

**SENSITIVE BUT UNCLASSIFIED**  
**NON-RECORD**

b6  
b7C

Thanks, [REDACTED]

b7E

-----Original Message-----  
From: [REDACTED] (WF) (FBI)  
Sent: Tuesday, August 14, 2007 7:55 AM  
To: [REDACTED] (WF) (FBI)  
Subject: RE: Check to see if an individual currently is/has ever been a WFO Source

**SENSITIVE BUT UNCLASSIFIED**  
**NON-RECORD**

[REDACTED]  
[REDACTED]  
Washington Field

b3  
b6  
b7C  
b7D  
b7E

-----Original Message-----  
From: [REDACTED] (WF) (FBI)  
Sent: Monday, August 13, 2007 5:24 PM  
To: [REDACTED] (WF) (FBI)  
Subject: Check to see if an individual currently is/has ever been a WFO Source

**SENSITIVE BUT UNCLASSIFIED**  
**NON-RECORD**

208-14F-236544-17

b6  
b7C

H [redacted]

Can you please run this guy to see if he currently is/has ever been a WFO Source?  
Thanks so much!



SA [redacted]  
WFO, NVRA [redacted]  
Desk [redacted]  
Cell [redacted]  
Blackberry [redacted]

b6  
b7C  
b7D  
b7E

SENSITIVE BUT UNCLASSIFIED

SENSITIVE BUT UNCLASSIFIED

SENSITIVE BUT UNCLASSIFIED

SENSITIVE BUT UNCLASSIFIED

# FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 08/19/2007

To: Washington Field

Attn: NVRA Evidence Room

From: Washington Field

[redacted] NVRA

Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted]

Case ID #: 205-WF-236544 (Pending) -18

Title: BAE SYSTEMS, plc;  
BAE SYSTEMS, Inc;  
Al-YAMAMAH ARMS DEAL;  
PAYMENTS TO SAUDI ARABIAN OFFICIALS;  
FOREIGN CORRUPT PRACTICES ACT OF 1977

Synopsis: To advise of permanent charge out of evidence 1B1 in captioned investigation.

Details: On 08/14/07, Writer permanently charged out evidence 1B1 in captioned investigation to Special Agent [redacted]

[redacted] A copy of the FD-597 and Chain of Custody for 1B1 is attached to and made part of this EC.

♦♦

b6  
b7C  
b7E

b6  
b7C  
b7E

b6  
b7C

UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION  
Receipt for Property Received/Returned/Released/SeizedFile # 205-WF-236544On (date) 08/14/07

item(s) listed below were:

Received From  
 Returned To  
 Released To  
 Seized

(Name) Special Agent

(Street Address)

(City)

Description of Item(s):

b6

b7C

b7E

Received By:

(signature)

Received From:

(signature)

b6  
b7C

08/06/07  
11:31:39

FD-192

ICMIPR01  
Page 1

Title and Character of Case:

BAE SYSTEMS PLC

Date Property Acquired: Source from which Property Acquired:

07/24/2007

PITTSBURGH PA

Anticipated Disposition: Acquired By: Case Agent:

b6

b7C

Description of Property:

1B 1

Date Entered

b7E

Barcode: E04087719

Location:

08/06/2007



**E04087719**

Case Number: 205-WF-236544  
Owning Office: WASHINGTON FIELD

**EVIDENCE COPY**

FEDERAL BUREAU OF INVESTIGATION  
EVIDENCE CHAIN-OF-CUSTODYEvidence Type:  General  Drug  Valuable  Firearms  CART

| Special Handling Instructions  |  | Initial Receipt   |  | Date and Time       |
|--|--|---|--|---------------------|
| <input type="checkbox"/> Batteries <input type="checkbox"/> Biohazard <input checked="" type="checkbox"/> FGJ<br><input type="checkbox"/> HAZMAT <input type="checkbox"/> Latents <input type="checkbox"/> Refrigerate<br><input type="checkbox"/> Req. Charging <input type="checkbox"/> None<br><input type="checkbox"/> Other _____ |  | Signature: _____<br>Printed Name: SA<br>Reason: Collected |  | 07/24/07<br>2:45 pm |
| Relinquished Custody   |  | Date and Time   | Accepted Custody                       |                     |
| Signature:<br>Printed Name: SA<br>Reason:  |  |   | Signature:<br>Printed Name:<br>Reason: |                     |
| Relinquished Custody   |  | Date and Time   | Accepted Custody                       |                     |
| Signature:<br>Printed Name:<br>Reason:   |  |   | Signature:<br>Printed Name:<br>Reason: |                     |
| Relinquished Custody   |  | Date and Time   | Accepted Custody                       |                     |
| Signature:<br>Printed Name:<br>Reason:   |  |   | Signature:<br>Printed Name:<br>Reason: |                     |
| Relinquished Custody   |  | Date and Time   | Accepted Custody                       |                     |
| Signature:<br>Printed Name:<br>Reason:   |  |   | Signature:<br>Printed Name:<br>Reason: |                     |
| Relinquished Custody   |  | Date and Time   | Accepted Custody                       |                     |
| Signature:<br>Printed Name:<br>Reason:   |  |   | Signature:<br>Printed Name:<br>Reason: |                     |

## Firearms Certification:

Printed Name: \_\_\_\_\_ Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Case ID: 205-WF-236544

1B: 1

Barcode: E04087719

b6  
b7Cb6  
b7C

# FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 09/04/2007

To: Washington Field

From: Washington Field

[redacted] NVRA

Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted]

Case ID #: 205-WF-236544 (Pending) - 19

Title: BAE SYSTEMS, plc;  
BAE SYSTEMS, Inc;  
Al-YAMAMAH ARMS DEAL;  
PAYMENTS TO SAUDI ARABIAN OFFICIALS;  
FOREIGN CORRUPT PRACTICES ACT OF 1977

Synopsis: To document a telephone conference call on 08/15/07 between WFO, DOJ, and Legat London concerning captioned investigation.

Details: On 08/15/07, a telephone conference call between WFO, DOJ, and Legat London occurred regarding captioned investigation. Present on the conference call were the following individuals:

WFO ASAC [redacted] SSA [redacted] and SA's [redacted]  
[redacted] London FBI Legat [redacted]  
[redacted] and ALAT [redacted] and DOJ Attorneys [redacted]  
(London) and [redacted]  
(Washington, D.C.). The purpose of this conference call was to [redacted]

b6  
b7C  
b7E

b5  
b6  
b7C  
b7D  
b7E

b5  
b6  
b7C  
b7D  
b7E

b5  
b6  
b7C  
b7D  
b7E

b6  
b7C

To: Washington Field From: Washington Field  
Re: 205-WF-236544, 09/04/2007

[redacted]

b5  
b7D

[redacted] advised that DOJ had discussions with [redacted]

[redacted]

b3  
b5  
b6  
b7C  
b7D  
b7E

About two and one half weeks ago, [redacted]

[redacted]

b3  
b5  
b6  
b7C  
b7D  
b7E

[redacted]

[redacted]

b5  
b6  
b7C  
b7D

[redacted]

[redacted]

b5  
b6  
b7C  
b7D

[redacted]

[redacted]

b5  
b6  
b7C  
b7D  
b7E

[redacted] said that DOJ [redacted]

[redacted]

b5  
b6  
b7C  
b7D

To: Washington Field From: Washington Field  
Re: 205-WF-236544, 09/04/2007

[REDACTED]

b3  
b5

[REDACTED]

b3  
b5  
b6  
b7C  
b7D  
b7E

DOJ is meeting with [REDACTED]

[REDACTED]

[REDACTED]

b5  
b6  
b7C  
b7D

It was requested by DOJ that [REDACTED]

[REDACTED]

b3  
b5  
b6  
b7C  
b7D  
b7E

[REDACTED]

[REDACTED]

b3  
b5  
b6  
b7C  
b7D  
b7E

[REDACTED]

To: Washington Field From: Washington Field  
Re: 205-WF-236544, 09/04/2007

[redacted]

b5  
b6  
b7C  
b7D  
b7E

[redacted]

Regarding DOJ's meeting with [redacted]

[redacted]

b5  
b6  
b7C  
b7E

[redacted] He said  
he would look into it and call SA [redacted] once he has an answer.

♦♦

# FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 09/04/2007

To: Washington Field

From: Washington Field

[redacted] NVRA

Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted]

Case ID #: 205-WF-236544 (Pending) -20

Title: BAE SYSTEMS, plc;  
BAE SYSTEMS, Inc;  
Al-YAMAMAH ARMS DEAL;  
PAYMENTS TO SAUDI ARABIAN OFFICIALS;  
FOREIGN CORRUPT PRACTICES ACT OF 1977

Synopsis: To document an all agency meeting at DOJ on 08/16/07 regarding captioned investigation.

Details: On 08/16/07, an all agency meeting was held at DOJ regarding captioned investigation. Present at the meeting were the following individuals: FBI SA's [redacted]

[redacted] SA [redacted] SA [redacted] and DOJ

Prosecutors [redacted] and

DOJ Paralegal [redacted] The purpose of the meeting was to discuss progress made in the investigative/prosecutive plan for captioned investigation. The following was discussed:

b6  
b7C  
b7E

b3  
b5  
b6  
b7C  
b7E

b3  
b5  
b6  
b7C  
b7E

b6  
b7C

To: Washington Field From: Washington Field  
Re: 205-WF-236544, 09/04/2007

[Redacted]

The team then discussed what topics need to be covered during the [redacted] interview, and [redacted] provided copies of [redacted] documents on CDs to the team for their review.

b3  
b5  
b6  
b7C  
b7E

b5  
b6  
b7C  
b7E

♦♦

# FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 08/01/2007

To: Washington Field

From: Washington Field

[redacted]  
NVRA

Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted]

Case ID #: 205-WF-236544 (Pending) -21

Title: BAE SYSTEMS, plc;  
BAE SYSTEMS, Inc;  
Al-YAMAMAH ARMS DEAL;  
PAYMENTS TO SAUDI ARABIAN OFFICIALS;  
FOREIGN CORRUPT PRACTICES ACT OF 1977

Synopsis: To document an all agency meeting at DOJ on 07/31/07 regarding captioned investigation.

Details: On 07/31/07, an all agency meeting was held at DOJ regarding captioned investigation. Present at the meeting were the following individuals: FBI SSA [redacted] and FBI SA's [redacted] SSA [redacted] and DOJ Prosecutors [redacted] RAC [redacted] (via teleconference call), and DOJ Paralegal [redacted]

b6  
b7C  
b7E

b5  
b6  
b7C

b3  
b5  
b6  
b7C  
b7E

b3  
b5  
b6  
b7C  
b7E

b6  
b7C

To: Washington Field From: Washington Field  
Re: 205-WF-236544, 08/01/2007

The team discussed [redacted]

[redacted]  
b5  
b6  
b7C  
b7D  
b7E

The team discussed how [redacted]

[redacted]  
b3  
b5  
b6  
b7C  
b7D  
b7E

The team discussed the [redacted]

[redacted]  
b3  
b5  
b7D  
b7E

The team discussed [redacted]

[redacted]  
b5  
b6  
b7C  
b7D  
b7E

[redacted] the team will be in a position to discuss interviewing these individuals.

Each agency was given a copy of [redacted]

[redacted]  
b5  
b6  
b7C  
b7D  
b7E

To: Washington Field From: Washington Field  
Re: 205-WF-236544, 08/01/2007

[Redacted]

to [Redacted] [Redacted] advised that each agency needs to submit a 6e list

b3  
b5  
b6  
b7C  
b7E

♦♦

# FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 09/04/2007

To: Washington Field

From: Washington Field

[redacted]/NVRA

Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted]

Case ID #: 205-WF-236544 (Pending) -22-

Title: BAE SYSTEMS, plc;  
BAE SYSTEMS, Inc;  
AL-YAMAMAH ARMS DEAL;  
PAYMENTS TO SAUDI ARABIAN OFFICIALS;  
FOREIGN CORRUPT PRACTICES ACT OF 1977

**Synopsis:** To document a conference call on 08/08/07 between WFO, DOJ, and Legat London concerning captioned investigation.

**Details:** On 08/08/07, a conference call between WFO, DOJ, and Legat London occurred regarding captioned investigation. Present on the conference call were the following individuals: WFO FBI SA's [redacted] London FBI SA (ALAT) [redacted]

[redacted] and DOJ Prosecutors [redacted]

[redacted] The purpose of this conference call was to explain to DOJ how WFO [redacted]

[redacted] The following was discussed:

b6  
b7C  
b7E

b5  
b6  
b7C  
b7D  
b7E

b5  
b6  
b7C  
b7D  
b7E

b6  
b7C

To: Washington Field From: Washington Field  
Re: 205-WF-236544, 09/04/2007

[Redacted]

[Redacted]

[Redacted]

[Redacted]

[Redacted]

[Redacted]

[Redacted]

[Redacted]

b5  
b6  
b7C  
b7D  
b7E

b5  
b6  
b7C  
b7D  
b7E

b5  
b6  
b7C  
b7D  
b7E

To: Washington Field From: Washington Field  
Re: 205-WF-236544, 09/04/2007

[redacted] agreed that the team must proceed with a video teleconference call and DOJ Attorney [redacted] needs to participate in this call.

b5  
b6  
b7C  
b7E

[redacted]

b5  
b6  
b7C  
b7D  
b7E

[redacted]

♦♦

# FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 09/04/2007

To: Washington Field

From: Washington Field

[redacted]/NVRA

Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted]

Case ID #: 205-WF-236544 (Pending) ~23

Title: BAE SYSTEMS, plc;  
BAE SYSTEMS, Inc;  
AL-YAMAMAH ARMS DEAL;  
PAYMENTS TO SAUDI ARABIAN OFFICIALS;  
FOREIGN CORRUPT PRACTICES ACT OF 1977

Synopsis: To document an all agency meeting at DOJ on 08/07/07 regarding captioned investigation.

Details: On 08/07/07, an all agency meeting was held at DOJ regarding captioned investigation. Present at the meeting were the following individuals: FBI SSA [redacted] and FBI SA's [redacted] DCIS SSA [redacted] and SA [redacted] and DOJ Prosecutors [redacted] [redacted] and DOJ Paralegal [redacted] The purpose of the meeting was [redacted]

investigation. The following was discussed:

b6  
b7C  
b7E

b5  
b6  
b7C  
b7E

b3  
b5  
b6  
b7C  
b7D  
b7E

b6  
b7C

To: Washington Field From: Washington Field  
Re: 205-WF-236544, 09/04/2007

[Redacted]

The U.S. is to prepare a memo explaining our

[Redacted]

b5  
b6  
b7C  
b7D  
b7E

DOJ had a meeting with [Redacted]

[Redacted]

[Redacted]

[Redacted]

b5  
b6  
b7C  
b7D  
b7E

[Redacted]

[Redacted]

[Redacted]

b3  
b5  
b6  
b7C  
b7D  
b7E

To: Washington Field From: Washington Field  
Re: 205-WF-236544, 09/04/2007



b3  
b5  
b6  
b7C  
b7D  
b7E

♦♦

# FEDERAL BUREAU OF INVESTIGATION

**Precedence:** ROUTINE

**Date:** 08/22/2007

**To:** Washington Field

**From:** Washington Field

[redacted] /NVRA

**Contact:** SA [redacted]

**Approved By:** [redacted]

**Drafted By:** [redacted]

**Case ID #:** 205-WF-236544 (Pending) -24

**Title:** BAE SYSTEMS, plc;  
BAE SYSTEMS, Inc.;  
AL-YAMAMAH ARMS DEAL;  
PAYMENTS TO SAUDI ARABIAN OFFICIALS;  
FCPA

**Synopsis:** Document meeting held on 08/15/2007 with [redacted] to discuss the status of subpoenas.

**Details:** On 08/15/2007, writer and Special Agent [redacted] participated telephonically in a meeting concerning the status of [redacted]

[redacted] Department of Justice Attorneys [redacted]

were present at the meeting along with [redacted] general counsel, [redacted] external counsel, [redacted] of Linklaters.

[redacted]

[redacted]

[redacted]

[redacted]

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b7C

To: Washington Field From: Washington Field  
Re: 205-WF-236544, 08/22/2007

[Redacted]

[Redacted]

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[Redacted]

The Al-Yamamah contract is a [Redacted]

[Redacted]

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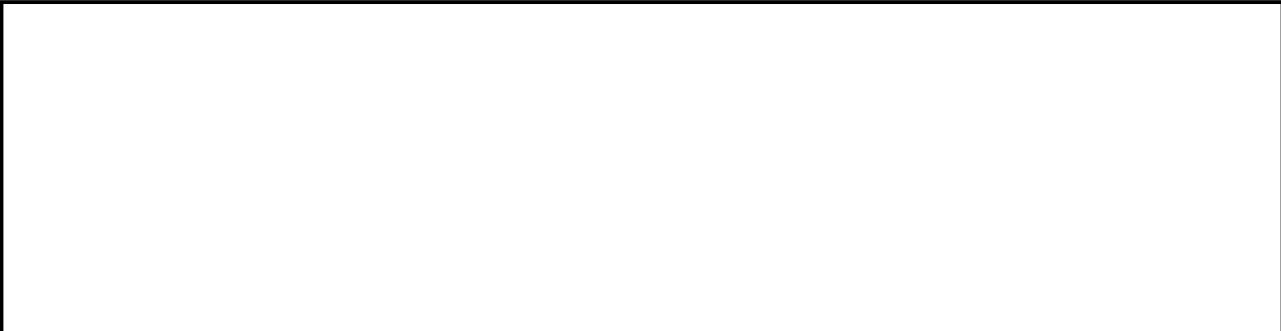
[Redacted]

[Redacted]

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[Redacted]

To: Washington Field From: Washington Field  
Re: 205-WF-236544, 08/22/2007



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b3  
b7D  
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b3  
b7D  
b7E



To: Washington Field From: Washington Field  
Re: 205-WF-236544, 08/22/2007

The meeting was then adjourned [redacted]  
[redacted]

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b7D  
b7E

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# FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY

Date: 06/15/2007

To: Criminal Investigative

Attn: Public Corruption Unit

UC  
SSA

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b7C

From: Washington Field Office

[redacted]  
NVRA

Contact: SA [redacted]

Approved By: [redacted]

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Drafted By: [redacted]

Case ID #: 205-WF-233891 (Pending)

Title: BAE SYSTEMS PLC;  
FOREIGN CORRUPTION PRACTICES ACT OF 1977

**Synopsis:** To provide a synopsis of WFO's ongoing investigation concerning BAE and request FBI Headquarter's approval to open an investigation concerning BAE payments made to Saudi Arabia government officials.

**Details:** BAE Systems Plc (BAE) is the largest defense production company in Europe, with its headquarters established in the United Kingdom (UK). BAE has representative facilities on five continents, to include North America (the United States). In the United States, BAE is the seventh largest defense company. BAE employs approximately 88,000 people world-wide and boasts over 100 new inventions each year. In 2006, BAE's annual sales exceeded £13.7 billion GBP (\$25.4 billion USD) and spent in excess of £1.2 billion GBP (\$2.1 billion USD) in annual R&D.

In May of 2006, an Foreign Corrupt Practices Act (FCPA) investigation was opened by WFO concerning BAE. At the time the FBI was opening this investigation DOJ advised that [redacted]

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b7E

The FBI and DOJ focused its investigation on the suspected corruption of [redacted]

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b7E

205-WF-233891-25

b6  
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b7E

To: Criminal Investigative From: Washington Field Office  
Re: 205-WF-233891, 06/15/2007

[redacted]

b7D  
b7E

The FBI's Legat in London, England, has also provided

[redacted]

[redacted]

b7D

The DOJ and FBI's investigation concerning

[redacted]

To date in the investigation, the FBI and DOJ have conducted interviews of

[redacted]

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b7C  
b7D  
b7E

Through these interviews, it was discovered that

[redacted]

In order to be in compliance with the new legislation,

[redacted]

b7D  
b7E

[redacted]

In addition to the formation of

[redacted]

b7D  
b7E

To: Criminal Investigative From: Washington Field Office  
Re: 205-WF-233891, 06/15/2007

\_\_\_\_\_

1. **What is the primary purpose of the proposed legislation?**

1. **What is the primary purpose of the following sentence?**

1. **What is the primary purpose of the proposed legislation?**

The ongoing investigation is focused on determining whether [REDACTED]

For more information, visit [www.ams.org](http://www.ams.org).

For more information, contact the Office of the Vice President for Research and Economic Development at 319-273-2500 or [research@uiowa.edu](mailto:research@uiowa.edu).

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On December 14, 2006, [REDACTED]

It was decided that the investigation was to be

it was decided that the investigation was to be discontinued. .

The decision to discontinue the investigation

To: Criminal Investigative From: Washington Field Office  
Re: 205-WF-233891, 06/15/2007

Director is duty bound to consider these changes in circumstances.

Because of this, [redacted] contacted the FBI Legat in London on March 30, 2007, [redacted]

[redacted]

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b7E

[redacted]

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b7E

In April 2007, SA [redacted] learned of the [redacted]

[redacted]

At the request of WFO, DOJ [redacted]

[redacted]

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b7E

To: Criminal Investigative From: Washington Field Office  
Re: 205-WF-233891, 06/15/2007

[Redacted]

[Redacted]

[Redacted]

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b7E

During its investigation, [Redacted]

[Redacted]

[Redacted]

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b7E

\_\_\_\_\_

[Redacted]

[Redacted]

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To: Criminal Investigative From: Washington Field Office  
Re: 205-WF-233891, 06/15/2007

In early 2002, the U.K.'s new foreign bribery law took effect. On February 11, 2002,

[REDACTED]

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[REDACTED]

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[REDACTED]

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[REDACTED]

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To: Criminal Investigative From: Washington Field Office  
Re: 205-WF-233891, 06/15/2007

At this time,

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<sup>3</sup>We expect that further investigation will unearth documents and testimony to support these assertions.

b7E

To: Criminal Investigative From: Washington Field Office  
Re: 205-WF-233891, 06/15/2007



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"If an investigation were to reveal conduct of employees or agents of BAE's North American subsidiary in furtherance of corrupt payments to [redacted] then BAE's subsidiary could be subject to prosecution under 15 U.S.C. §77d-2, which criminalizes corrupt payments to foreign officials made by non-issuer "domestic concerns."

b6  
b7C

To: Criminal Investigative From: Washington Field Office  
Re: 205-WF-233891, 06/15/2007

LEAD(s):

Set Lead 1: (Action)

CRIMINAL INVESTIGATIVE

AT WASHINGTON, DC

To provide WFO with FBIHQ approval to open an investigation into BAE payments made to Saudi Arabian government officials.

♦♦

# FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 09/18/2007

To: Washington Field

From: Washington Field

[redacted] NVRA

Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted]

Case ID #: 205-WF-236544 (Pending) -26

Title: BAE SYSTEMS, plc;  
BAE SYSTEMS, Inc;  
Al-YAMAMAH ARMS DEAL;  
PAYMENTS TO SAUDI ARABIAN OFFICIALS;  
FOREIGN CORRUPT PRACTICES ACT OF 1977

**Synopsis:** To document a telephone conference call on 09/14/07 between WFO, DOJ, and Legat London concerning captioned investigation.

**Details:** On 09/14/07, a telephone conference call between WFO, DOJ, and Legat London occurred regarding captioned investigation. Present on the conference call were the following individuals:

[redacted]

b6  
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b6  
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b7D

b6  
b7C

To: Washington Field From: Washington Field  
Re: 205-WF-236544, 09/18/2007



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b7D



To: Washington Field From: Washington Field  
Re: 205-WF-236544, 09/18/2007

[Redacted]

b3  
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There will be a meeting [Redacted]

[Redacted]

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[Redacted]

[Redacted]

b3  
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b7D

[Redacted]

[Redacted]

b5  
b6  
b7C

♦♦

# FEDERAL BUREAU OF INVESTIGATION

Precedence: PRIORITY

Date: 09/24/2007

To: Salt Lake City

Attn: Coeur d'Alene RA

From: Washington Field

NVRA/Squad [redacted]

Contact: SA [redacted]

SA [redacted]

b6  
b7C  
b7E

Approved By: [redacted]

Drafted By: [redacted]

Case ID #: 205-WF-236544 (Pending) -27

Title: BAE SYSTEMS, plc;  
BAE SYSTEMS, Inc;  
Al-YAMAMAH ARMS DEAL;  
PAYMENTS TO SAUDI ARABIAN OFFICIALS;  
FOREIGN CORRUPT PRACTICES ACT OF 1977

Synopsis: Request assistance from Salt Lake City, Couer d'Alene RA, in locating [redacted]

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b7C

Details: Captioned public corruption investigation, which is unclassified but highly sensitive, involves accusations of BAE violating the Foreign Corrupt Practices Act of 1977.

Washington Field is trying to locate [redacted]

b6  
b7C  
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relevant information concerning captioned investigation.

Based on information obtained from [redacted]

b6  
b7C  
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[redacted] and it is believed that he was very unhappy about [redacted] It is unknown whether or not [redacted] will talk with the FBI and cooperate in captioned investigation.

Washington Field is requesting Salt Lake [redacted]

b6  
b7C  
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[redacted]  
b6  
b7C

To: Salt Lake City From: Washington Field  
Re: 205-WF-236544, 09/24/2007

[Redacted]

b6  
b7C  
b7E

[Redacted]

b6  
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**Descriptive Data:**

Main Subject

Name -  
Last:  
First:  
Middle:

[Redacted]

Race:

White

Sex:

Male

DOB:

[Redacted]

POB:

DLN:

DLN:

DLN:

[Redacted]

b6  
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FBI:

[Redacted]

Criminal History:

Locations:

[Redacted]

To: Salt Lake City From: Washington Field  
Re: 205-WF-236544, 09/24/2007

Additional:

SOC:

Height/Weight:

Hair/Eyes:

Occupations:

b6  
b7C

Alias(es) -

Last:

First:

Middle:

Soc:

Address(es) -

House #:

City:

State:

Postal Code:

House #:

City:

State:

Postal Code:

b6  
b7C

House #:

City:

State:

Postal Code:

House #:

City:

State:

Postal Code:

Idaho

House #:

City:

State:

Postal Code:

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Vehicle:

Currently registered is a  
1978 white

To: Salt Lake City From: Washington Field  
Re: 205-WF-236544, 09/24/2007

He has had several other  
vehicles whose registrations  
expired in [redacted]

Miscellaneous -

Wife [redacted]

DOB: [redacted]

SOC: [redacted]

b6  
b7C

To: Salt Lake City From: Washington Field  
Re: 205-WF-236544, 09/24/2007

LEAD(s) :

Set Lead 1: (Action)

SALT LAKE CITY

AT [redacted]

Run and review [redacted] criminal history in [redacted]

[redacted]

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b7C  
b7E

# FEDERAL BUREAU OF INVESTIGATION

Precedence: ROUTINE

Date: 10/01/2007

To: Washington Field

From: Washington Field

[redacted] NVRA

Contact: SA [redacted]

Approved By: [redacted]

Drafted By: [redacted]

Case ID #: 205-WF-236544 (Pending) -28

Title: BAE SYSTEMS, plc;  
BAE SYSTEMS, Inc;  
AL-YAMAMAH ARMS DEAL;  
PAYMENTS TO SAUDI ARABIAN OFFICIALS;  
FOREIGN CORRUPT PRACTICES ACT OF 1977

Synopsis: To document a meeting with [redacted]  
[redacted]

Details: On 09/28/07, Writer attended a meeting with [redacted]  
[redacted]  
[redacted]

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b7E

[redacted] b6  
b7C

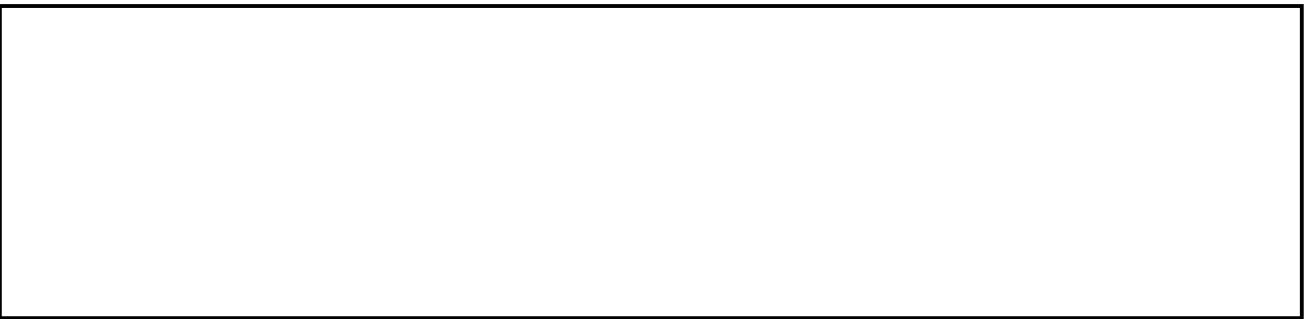
To: Washington Field From: Washington Field  
Re: 205-WF-236544, 10/01/2007

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A large rectangular area of the page is completely redacted with a solid black box.

To: Washington Field From: Washington Field  
Re: 205-WF-236544, 10/01/2007

DOJ is to get back to [redacted]

[redacted]

After this meeting ended, prosecutors and investigators  
met briefly. [redacted]

[redacted]

[redacted] he would instead  
reach out to the committee and set up an independent meeting with  
someone.

b3  
b6  
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b7D  
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♦♦

# FEDERAL BUREAU OF INVESTIGATION

**Precedence:** ROUTINE

**Date:** 10/01/2007

**To:** Washington Field

**From:** Washington Field

[redacted] NVRA

Contact: SA [redacted]

**Approved By:** [redacted]

**Drafted By:** [redacted]

**Case ID #:** 205-WF-236544 (Pending) -29

**Title:** BAE SYSTEMS, plc;  
BAE SYSTEMS, Inc.;  
AL-YAMAMAH ARMS DEAL;  
PAYMENTS TO SAUDI ARABIAN OFFICIALS;  
FCPA

**Synopsis:** Document meeting held on [redacted]

**Enclosure(s):** Letter from the DOJ to [redacted]

**Details:** On 09/18/2007, writer participated in a meeting concerning [redacted]

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b6  
b7C

To: Washington Field From: Washington Field  
Re: 205-WF-236544, 10/01/2007

[Redacted]

[Redacted]

[Redacted]

[Redacted]

[Redacted]

[Redacted]

[Redacted]

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b7E

To: Washington Field From: Washington Field  
Re: 205-WF-236544, 10/01/2007

A summary of specific information that was requested  
[redacted]  
is documented in the enclosed letter from the DOJ.

b3  
b7E

♦♦